



Reply to a Notice of Reference

References from the Public Services Ombudsman for Wales

Section 1

Information about the member who is the subject of the alleged breach(es)

Full Name	
Address (including Postcode)	
Telephone Number	
Email Address	

Section 2

Representative Details

Are you going to be represented (e.g. by counsel, a solicitor or any other person)?

Yes

No

If yes, please provide details below.

Full Name	
Profession	
Address (including Postcode)	
Telephone Number	
Email Address	

The Tribunal should deliver notices concerning the reference to the above representative instead of to me.

Do you or your representative have any access requirements? (E.g. cannot manage stairs or need wheelchair access). If so, please give details:

You:

Your representative:

Do you or your representative have any other special needs? (E.g. need an interpreter, hearing assistance etc.). If so, please give details:

You:

Your representative:

You may be asked to give evidence on oath or affirmation. Please indicate your preference.

You:

Oath Affirmation

Your representative (if applicable)

Oath Affirmation

Which religious book will you and/or your representative require in order to give evidence under oath?

You:

Your representative (if applicable)

Please indicate whether you wish the tribunal hearing to be conducted in Welsh or English.

Welsh English

Section 3

The Tribunal Hearing

Do you wish for the tribunal to make its adjudication by way of written representations?

Yes No

If the answer is yes, please continue to Section 4

Are you or your representative planning to attend the tribunal hearing?

Yes No

Are you intending to call any witnesses to support your case?

Yes No

If the answer is yes, please provide the information about the witnesses under Section 7 of this form.

Are there any witnesses (other than those detailed in **Section 7**) that you wish the tribunal to call in order that you may ask them questions? If so please give details below.

Please note that the tribunal does not have the power to summon the Public Services Ombudsman or a representative of the Ombudsman's office. The Ombudsman or a representative may, however, attend the hearing in order to present the Ombudsman's Report, to explain its contents and to play such part or to assist the tribunal as appropriate.

Section 4

Your response to the material facts set out in the Public Services Ombudsman for Wales' Report?

Please indicate by reference to numbers in the report, any paragraphs where you dispute the statement made by the Public Services Ombudsman for Wales and give your reasons and outline the facts as you consider them to be.

Section / paragraph No.	Your reason(s) for disputing the information provided in that section / paragraph.	Outline of the facts as you consider them to be.

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Please use a continuation sheet if necessary and include the section number on each page

Continuation sheet attached

Section 5

Has there been a failure to follow the provisions of the code of conduct?

The Public Services Ombudsman for Wales has set out in the report reasons why you may be regarded as having breached the provisions of the code of conduct. The tribunal will form its own view on whether there has been such a failure.

Please indicate by reference to the section/paragraph numbers in the report how, if at all, you disagree with the reasoning of the Ombudsman.

Section / paragraph No.	Why I dispute the reasoning of the Public Services Ombudsman for Wales

Please use a continuation sheet if necessary and include the section number on each page

Continuation sheet attached

Section 6

Please set out below (using numbered points) any other reasons (not already covered under sections 4 to 5 above) why the tribunal should conclude that there has not been a failure to follow the provisions of the code of conduct.

No.	Other reasons for finding that there has not been a failure to follow the provisions of the code of conduct
1.	
2.	
3.	
4.	
5.	

Please use a continuation sheet if necessary and include the section number on each page

Continuation sheet attached

Section 7

Representations to be taken into account if the tribunal finds that you have breached the provisions of the code of conduct

Please set out using numbered points any factors you feel the tribunal should take into account if you are found to have failed to follow the provisions of the code of conduct. Please note that no such finding has yet been made.

No.	Factors for the Case Tribunal to take into account in deciding whether to impose any suspension, partial suspension or disqualification
1.	
2.	
3.	
4.	
5.	

Please use a continuation sheet if necessary and include the section number on each page

Continuation sheet attached

Section 8

Details of proposed witnesses to be called by the respondent

Witness 1

Full Name	
Address (including Postcode)	
Telephone Number	
Email Address	

Please give details of any access requirements (e.g. cannot manage stairs or need wheelchair access etc.)

Please give details of any other special needs (e.g. need an interpreter, hearing assistance etc.)

Your witness may be asked to give evidence on oath or affirmation. Please indicate a preference:

Oath Affirmation

If on oath, please confirm which religious book your witness will require in order to give evidence?

Is the witness going to provide evidence about a material fact?

Yes No

If yes, please provide an outline of the evidence to be given.

Is the witness going to provide evidence about action to be taken if the tribunal finds that there has been a breach of the provisions of the code of conduct?

Yes No

If yes, please provide an outline of the evidence to be given.

Will the witness be giving evidence in Welsh or English?

Welsh English

Witness 2

Full Name	
Address (including Postcode)	
Telephone Number	
Email Address	

Please give details of any access requirements (e.g. cannot manage stairs or need wheelchair access etc.)

Please give details of any other special needs (e.g. need an interpreter, hearing assistance etc.)

Your witness may be asked to give evidence on oath or affirmation. Please indicate a preference:

Oath Affirmation

If on oath, please confirm which religious book your witness will require in order to give evidence?

Is the witness going to provide evidence about a material fact?

Yes No

If yes, please provide an outline of the evidence to be given.

Is the witness going to provide evidence about action to be taken if the tribunal finds that there has been a breach of the provisions of the code of conduct?

Yes No

If yes, please provide an outline of the evidence to be given.

Will the witness be giving evidence in Welsh or English?

Welsh English

Witness 3

Full Name	
Address (including Postcode)	
Telephone Number	
Email Address	

Please give details of any access requirements (e.g. cannot manage stairs or need wheelchair access etc.)

Please give details of any other special needs (e.g. need an interpreter, hearing assistance etc.)

Your witness may be asked to give evidence on oath or affirmation. Please indicate a preference:

Oath Affirmation

If on oath, please confirm which religious book your witness will require in order to give evidence?

Is the witness going to provide evidence about a material fact?

Yes No

If yes, please provide an outline of the evidence to be given.

Is the witness going to provide evidence about action to be taken if the tribunal finds that there has been a breach of the provisions of the code of conduct?

Yes No

If yes, please provide an outline of the evidence to be given.

Will the witness be giving evidence in Welsh or English?

Welsh English

Section 9

Statement of Truth

I believe that the facts I have stated in this document are true.

Full Name	
Date	
Signature	

Or

I am duly authorised by the respondent to sign this statement

I believe (the respondent believes) that the facts stated in this document are true.

Full Name	
Name of respondent's Solicitor/Agents firm, if applicable	
Date	
Signature	

Completed forms should be returned to:

Registrar to the Panel
Adjudication Panel for Wales
Government Buildings
Spa Road East
Llandrindod Wells
Powys
LD1 5HA

E-mail: Adjudication.panel@wales.gsi.gov.uk